

FISCAL YEAR 2012-2013 QUARTERLY REPORT

CUMULATIVE CLEANUP FUND CLAIMS SINCE INCEPTION OF THE FUND

The UST Cleanup Fund Quarterly Report provides information about claims on the Fund, reimbursements of eligible corrective action costs, and accounts receivable owed to the Fund. The table below is a summary of cumulative Cleanup Fund claims by region since inception of the Fund which includes claims paid, claims closed, and average amount per closed site as of 12-31-12.

GEO. REGION ¹	# CLAIMS PAID ²	AMT. PAID TO CLAIMS ³	# CLAIMS ⁴ CLOSED	AMT. PAID FOR CLOSED CLAIMS ⁵	AVG. AMT. PER CLOSED SITE ⁶	% CLAIMS CLOSED ⁷
1	1,033	\$249,071,017	746	\$113,097,189	\$151,605	72%
2	2,498	\$580,881,812	1,959	\$311,459,593	\$158,989	78%
3	586	\$196,685,984	382	\$75,469,686	\$197,565	66%
4	2,053	\$663,109,915	1,548	\$346,832,199	\$224,052	75%
5	2,768	\$786,490,609	1,990	\$366,391,758	\$184,116	72%
6	282	\$99,766,356	220	\$58,989,728	\$268,135	78%
7	191	\$45,094,432	130	\$18,772,542	\$144,404	68%
8	1,015	\$369,600,377	751	\$185,945,520	\$247,597	74%
9	904	\$213,822,712	707	\$112,551,918	\$159,196	78%
TOTAL	11,330	\$3,204,523,214	8,433	\$1,589,510,133	\$188,487	74%

¹ Region – Includes all cases overseen by Regional Water Boards, LOPs, and LIAs

² # Claims Paid – Number of claims that have received any reimbursement from the Fund since the inception of the Fund

³ Amt. of Claims Paid – Amount reimbursed since the inception of the Fund

⁴ # Claims Closed – Number of claims closed since the inception of the Fund

⁵ Amt. Paid for Closed Claims – Amount reimbursed to claims that are now closed since the inception of the Fund

⁶ Avg. Amt. Per Closed Site – Average reimbursement amount for closed sites. Average reimbursement in recent years is larger than earlier years as a result of increased unit costs.

⁷ % Claims Closed – Percent of claims closed divided by number of claims that have received reimbursement from the Fund (regional and statewide) since the inception of the Fund.

CLAIM STATUS INFORMATION

1ST QUARTER OF FY 12/13 (Jul - Sep)					2ND QUARTER OF FY 12/13 (Oct - Dec)				
GEO. REGION ¹	ACTIVE CLAIMS ²	CLAIMS ON PRIORITY LIST ³	CLAIMS CLOSED ⁴ -QTR-	CLAIMS CLOSED -YTD-	GEO. REGION	ACTIVE CLAIMS	PRIORITY LIST	CLAIMS CLOSED -QTR-	CLAIMS CLOSED -YTD-
1	303	155	11	11	1	287	153	18	29
2	574	1,113	17	17	2	544	1,112	32	49
3	212	221	9	9	3	205	221	7	16
4	541	1,211	21	21	4	509	1,211	32	53
5	804	811	23	23	5	779	807	32	55
6	68	105	5	5	6	62	104	7	12
7	65	59	5	5	7	62	59	3	8
8	279	603	9	9	8	267	602	13	22
9	211	473	4	4	9	199	473	12	16
TOTAL	3,057	4,751	104	104	TOTAL	2,914	4,742	156	260

3RD QUARTER OF FY 12/13 (Jan - Mar)					4TH QUARTER OF FY 12/13 (Apr - Jun)				
GEO. REGION	ACTIVE CLAIMS	CLAIMS ON PRIORITY LIST	CLAIMS CLOSED -QTR-	CLAIMS CLOSED -YTD-	GEO. REGION	ACTIVE CLAIMS	CLAIMS ON PRIORITY LIST	CLAIMS CLOSED -QTR-	CLAIMS CLOSED -YTD-
1					1				
2					2				
3					3				
4					4				
5					5				
6					6				
7					7				
8					8				
9					9				
TOTAL					TOTAL				

¹ Region – Includes all cases overseen by Regional Water Boards, LOPs, LIAs

² Active Claims– All currently active claims

³ Claims on Priority List – All claims waiting for activation and subsequent funding

⁴ Claims Closed – Includes claims closed during quarter (QTR) and year-to-date (YTD) for sites

PAYMENTS INFORMATION

1ST QUARTER OF FY 12/13 (Jul - Sep)

PRIORITY	AWAITING REVIEW ¹		BEING REVIEWED ²		AWAITING FUNDS ³ (ON HOLD)		GRAND TOTAL IN PROCESS ⁴		RELEASED PAYMENTS ⁵	
	# RRs	REQ. \$	# RRs	REQ. \$	# RRs	AMT. APPROVED	# RRs	AMT. APPROVED	# RRs	AMT. APPROVED
A	2	\$44,310	1	\$3,956	0	\$0	3	\$48,266	27	\$370,245
B	66	\$1,918,042	7	\$66,650	0	\$0	73	\$1,984,692	686	\$15,033,888
C	270	\$7,188,532	829	\$28,865,192	71	\$951,278	1,170	\$37,005,002	453	\$12,030,847
D	61	\$2,858,931	33	\$,568,160	52	\$1,448,893	146	\$9,875,984	221	\$14,319,999
TOTAL	399	\$12,009,815	1,172	\$44,251,891	123	\$2,400,171	1,392	\$48,913,944	1,387	\$41,754,979

2ND QUARTER OF FY 12/13 (Oct - Dec)

PRIORITY	AWAITING REVIEW		BEING REVIEWED		AWAITING FUNDS (ON HOLD)		GRAND TOTAL OF BACKLOG		RELEASED PAYMENTS	
	# RRs	REQ. \$	# RRs	REQ. \$	# RRs	AMT. APPROVED	# RRs	AMT. APPROVED	# RRs	AMT. APPROVED
A	0	\$0	3	\$102,125	1	\$55,240	4	\$157,365	19	\$232,892
B	357	\$8,469,378	150	\$1,794,978	144	\$2,520,452	651	\$12,784,808	636	\$12,457,362
C	224	\$5,138,152	79	\$1,631,630	166	\$3,064,680	469	\$9,834,462	328	\$7,085,560
D	34	\$1,399,308	55	\$526,163	0	\$0	89	\$1,925,471	99	\$5,493,527
TOTAL	615	\$15,006,838	288	\$4,054,896	312	\$5,641,392	1,247	\$24,702,106	1,082	\$25,269,341

3RD QUARTER OF FY 12/13 (Jan - Mar)

PRIORITY	AWAITING REVIEW		BEING REVIEWED		AWAITING FUNDS (ON HOLD)		GRAND TOTAL IN PROCESS		RELEASED PAYMENTS	
	# RRs	REQ. \$	# RRs	REQ. \$	# RRs	AMT. APPROVED	# RRs	AMT. APPROVED	# RRs	AMT. APPROVED
A										
B										
C										
D										
TOTAL										

4TH QUARTER OF FY 12/13 (Apr - Jun)

PRIORITY	AWAITING REVIEW		BEING REVIEWED		AWAITING FUNDS (ON HOLD)		GRAND TOTAL IN PROCESS		RELEASED PAYMENTS	
	# RRs	REQ. \$	# RRs	REQ. \$	# RRs	AMT. APPROVED	# RRs	AMT. APPROVED	# RRs	AMT. APPROVED
A										
B										
C										
D										
TOTAL										

¹ Awaiting Review – Reimbursement Requests (RRs) received to date but waiting for review (number of RRs and dollars requested)

² Being Reviewed – RRs being reviewed during the quarter (number of RRs and dollars requested)

³ Awaiting Funds – RRs approved and waiting for available funding (number of RRs and dollars approved)

⁴ Total in Process – Total RRs awaiting review, RRs being reviewed, and RRs awaiting funding

⁵ Released Payments – RRs approved for payment. Check issuance 4-6 weeks after payment is approved

⁶ Approved for Payment – YTD – Year-to-date RRs approved for payment

#RRs - YTD	2,469
APPROVED FOR PAYMENT - YTD⁶	\$67,024,320

ACCOUNTS RECEIVABLE INFORMATION

PRIORITY	UST ACCOUNTS RECEIVABLES - 1ST QUARTER OF FY 12/13 (Jul - Sep)						
	1ST NOTICES ¹	2ND NOTICES	3RD NOTICES ²	SENT TO COLLECTIONS ³	AMT. COLLECTED	SCO PENDING DISCHARGE ⁴	SCO APPROVED DISCHARGE
A	\$355,211	\$0	\$0	\$0	\$0	\$0	\$0
B	\$7,570,121	\$81,551	\$366,890	\$0	\$82,578	\$20,527	\$0
C	\$3,005,544	\$112	\$112	\$0	\$0	\$0	\$0
D	\$1,046,542	\$0	\$14,208	\$0	\$0	\$0	\$0
TOTAL	\$11,977,418	\$81,663	\$381,210	\$0	\$82,578	\$20,527	\$0

PRIORITY	UST ACCOUNTS RECEIVABLES - 2ND QUARTER OF FY 12/13 (Oct - Dec)						
	1ST NOTICES	2ND NOTICES	3RD NOTICES	SENT TO COLLECTIONS	AMT. COLLECTED	SCO PENDING DISCHARGE	SCO APPROVED DISCHARGE
A	\$136,702	\$106,467	\$2,077	\$0	\$0	\$0	\$0
B	\$7,256,224	\$5,253,427	\$929,333	\$11,784	\$36,729	\$15,834	\$4,693
C	\$3,964,620	\$1,841,269	\$234,202	\$0	\$0	\$0	\$0
D	\$813,317	\$604,784	\$38,163	\$0	\$0	\$0	\$0
TOTAL	\$12,170,863	\$7,805,947	\$1,203,775	\$11,784	\$36,729	\$15,834	\$4,693

PRIORITY	UST ACCOUNTS RECEIVABLES - 3RD QUARTER OF FY 12/13 (Jan - Mar)						
	1ST NOTICES	2ND NOTICES	3RD NOTICES	SENT TO COLLECTIONS	AMT. COLLECTED	SCO PENDING DISCHARGE	SCO APPROVED DISCHARGE
A							
B							
C							
D							
TOTAL							

PRIORITY	UST ACCOUNTS RECEIVABLES - 4TH QUARTER OF FY 12/13 (Apr - Jun)						
	1ST NOTICES	2ND NOTICES	3RD NOTICES	SENT TO COLLECTIONS	AMT. COLLECTED	SCO PENDING DISCHARGE	SCO APPROVED DISCHARGE
A							
B							
C							
D							
TOTAL							

YTD Collected Payments¹	\$119,307
---	------------------

¹ Notices are sent to Claimants for amount required to repay the Fund (accounts receivable – A/Rs). The majority of 1st notices result in payment within 30 days.
² 3rd Notices – 3rd notices include the original A/R plus the amount the Fund would be billed by Collections and by the State Controller’s Office for discharge of debt.
³ Sent to Collections – After the 3rd Notice, A/Rs are sent to an outside agency with whom the State Water Board has a state contract in order to recover costs.
⁴ SCO Discharge (Pending/Approved) – When a debt cannot be recouped by a state agency it is written off as a liability as a discharge of debt approved by the State Controller’s Office.

FIVE-YEAR REVIEW INFORMATION

1ST QUARTER OF FY 12/13 (Jul - Sept)						2ND QUARTER OF FY 12/13 (Oct - Dec)					
GEO. REGION	FUND RECOMMENDATION			CLAIMS CLOSED SUBSEQ. TO 5-YR REVIEW -QTR-	CLAIMS CLOSED SUBSEQ. TO 5-YR REVIEW -YTD-	GEO. REGION	FUND RECOMMENDED			CLAIMS CLOSED SUBSEQ. TO 5-YR REVIEW -QTR-	CLAIMS CLOSED SUBSEQ. TO 5-YR REVIEW -YTD-
	ADDT'L CORR. ACTION	CASE CLOSURE	CONTINUE CURRENT CORR. ACTION				ADDT'L CORR. ACTION	CASE CLOSURE	CONTINUE CURRENT CORR. ACTION		
1	17	10	4	9	9	1	0	0	2	8	17
2	19	7	6	16	16	2	1	1	0	7	23
3	3	2	3	5	5	3	0	1	0	8	13
4	14	17	19	12	12	4	0	2	1	1	13
5	5	11	19	16	16	5	0	4	4	9	25
6	1	0	0	1	1	6	0	0	0	0	1
7	0	0	1	0	0	7	0	1	1	0	0
8	0	11	3	3	3	8	0	0	1	2	5
9	2	3	7	3	3	9	0	0	1	1	4
TOTAL	60	61	62	64	64	TOTAL	1	9	10	36	101

3RD QUARTER OF FY 12/13 (Jan - Mar)						4 TH QUARTER OF FY 12/13 (Apr - Jun)					
GEO. REGION ¹	FUND RECOMMENDATION ²			CLAIMS CLOSED SUBSEQ. TO 5-YR REVIEW ³ -QTR-	CLAIMS CLOSED SUBSEQ. TO 5-YR REVIEW -YTD-	GEO. REGION	FUND RECOMMENDATION			CLAIMS CLOSED SUBSEQ. TO 5-YR REVIEW -QTR-	CLAIMS CLOSED SUBSEQ. TO 5-YR REVIEW -YTD-
	ADDT'L CORR. ACTION	CASE CLOSURE	CONTINUE CURRENT CORR. ACTION				ADDT'L CORR. ACTION	CASE CLOSURE	CONTINUE CURRENT CORR. ACTION		
1						1					
2						2					
3						3					
4						4					
5						5					
6						6					
7						7					
8						8					
9						9					
TOTAL						TOTAL					

¹ Region – Includes cases overseen by LOPs and Regional Water Boards.

² Fund Recommendation – 5-Year Review recommended: 1) Additional Corrective Action beyond initial site assessment; 2) Current Correct Action; or 3) Pursue Case Closure

³ Claims Closed Subseq. To 5-Yr Review – Claims Closed Subsequent to 5 Year Review